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JC931 U.S. PTO

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Approved for use through 10/31/2002 OMB 0651-0032  
U S Patent and Trademark Office; U S DEPARTMENT OF COMMERCE  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. NEX83/D	
	First Inventor RABIN	
	Title	NUCLEIC ACID LIGANDS WHICH BIND TO HEPATOCYTE GROWTH FACTOR/SCATTER FACTOR (HGF/SF) OR ITS RECEPTOR C-MET
	Express Mail Label No. EV 025903939US	

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 52] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 23]</p> <p>5. Oath or Declaration [Total Pages ]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input checked="" type="checkbox"/> Assignee: GILEAD SCIENCES, INC.</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input checked="" type="checkbox"/> paper</p> <p>c. <input checked="" type="checkbox"/> Statements verifying identity of above copies</p>
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<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/> Other: Req. to Transfer/Change of Corres/Add	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/364,539

Prior application information Examiner LACOURCIERE Group Art Unit 1635

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 25871		or <input type="checkbox"/> Correspondence address below			
Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	ROSEMARY KELLOGG	Registration No. (Attorney/Agent)	39,726
Signature	Rosemary Kellogg	Date	February 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 2724.00

**Complete if Known**

Application Number	10/
Filing Date	FEBRUARY 4, 2002
First Named Inventor	RABIN
Examiner Name	
Group Art Unit	
Attorney Docket No.	NEX83/D

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 22-0277
- Deposit Account Name GILEAD SCIENCES, INC.
- ☒ The Commissioner is hereby authorized to charge any underpayment of fees to the referenced deposit account
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	710	201	355	Utility filing fee	740.00
		106	320	206	160	Design filing fee	
		107	490	207	245	Plant filing fee	
		108	710	208	355	Reissue filing fee	
		114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ ) 740.00

**2. EXTRA CLAIM FEES**

Total Claims 44 -20\*\* = 24 X 18.00 = 432.00

Independent Claims 21 - 3\*\* = 18 X 84.00 = 1512.00

Multiple Dependent ☐ = 1944.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		103	18	203	9	Claims in excess of 20
		102	80	202	40	Independent claims in excess of 3
		104	270	204	135	Multiple dependent claim, if not paid
		109	80	209	40	** Reissue independent claims over original patent
		110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 1944.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Surcharge - late filing fee or oath	
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
		139	130	139	130	Non-English specification	
		147	2,520	147	2,520	For filing a request for ex parte reexamination	
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	215	55	Extension for reply within first month	
		116	390	216	195	Extension for reply within second month	
		117	890	217	445	Extension for reply within third month	
		118	1,390	218	695	Extension for reply within fourth month	
		128	1,890	228	945	Extension for reply within fifth month	
		119	310	219	155	Notice of Appeal	
		120	310	220	155	Filing a brief in support of an appeal	
		121	270	221	135	Request for oral hearing	
		138	1,510	138	1,510	Petition to institute a public use proceeding	
		140	110	240	55	Petition to revive - unavoidable	
		141	1,240	241	620	Petition to revive - unintentional	
		142	1,240	242	620	Utility issue fee (or reissue)	
		143	440	243	220	Design issue fee	
		144	600	244	300	Plant issue fee	
		122	130	122	130	Petitions to the Commissioner	
		123	50	123	50	Processing fee under 37 CFR 1.17(q)	
		126	180	126	180	Submission of Information Disclosure Stmt	
		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
		179	710	279	355	Request for Continued Examination (RCE)	
		169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 40.00

**SUBMITTED BY**

Name (Print/Type)	ROSEMARY KELLOGG	Registration No (Attorney/Agent)	39,726	Telephone	303/268-0066
Signature	Rosemary Kellogg	Date	February 4, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	RABIN <i>ET AL.</i>	}	EXAMINER:
SERIAL NO.:	10/_____		ART UNIT:
FILED:	FEBRUARY 4, 2002		CONFIRMATION NO.:
FOR:	NUCLEIC ACID LIGANDS WHICH BIND TO HEPATOCTE GROWTH FACTOR/SCATTER FACTOR (HGF/SF) OR ITS RECEPTOR C- MET		

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**CHANGE OF CORRESPONDENCE AND FEE ADDRESS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please address all future correspondence and telephone calls related to the above-referenced case to the following address. Also, please recognize as the "Fee Address" under the provisions of 37 C.F.R. 1.363 the following address:

Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129

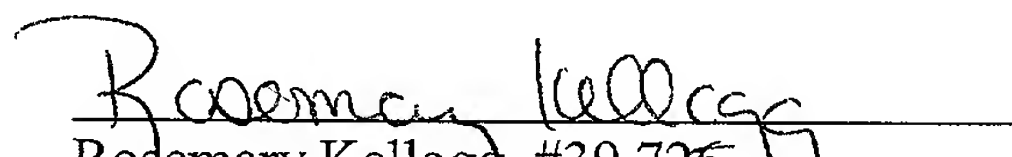
(303) 268-0066 Telephone  
(303) 268-0065 Facsimile  
e-mail: firm@sbiplaw.com

I am the attorney of record.

Please associate this case with **Customer No. 25871**

Respectfully submitted,

Date: February 4, 2002

  
Rosemary Kellogg, #39,726  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
Telephone: (303) 268-0066  
Facsimile: (303) 268-0065

cc: Diane Cruz  
Vanessa Appleby  
Marty Stanton

10066960-020403

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	RABIN <i>ET AL.</i>	}	EXAMINER:
SERIAL NO.:	10/_____		ART UNIT:
FILED:	FEBRUARY 4, 2002		CONFIRMATION NO.:
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Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**REQUEST TO TRANSFER PREVIOUSLY  
FILED SEQUENCE INFORMATION**

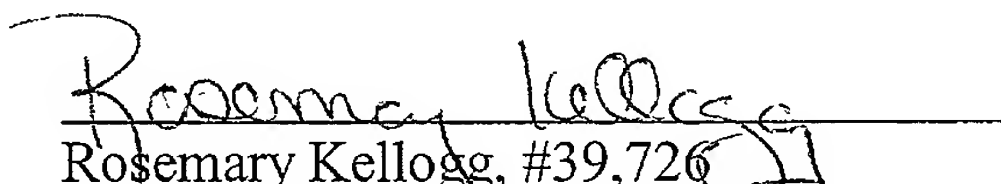
The paper copy of the Sequence Listing in the instant application is identical to the computer readable copy of the Sequence Listing filed on December 9, 1999 in response to a Sequence Listing Error Report.

In accordance with 37 C.F.R. § 1.821(e), please use the computer readable form filed in that application on December 9, 1997, as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included in the with the instant application.

I hereby state that the contents of the computer readable copy of the Sequence Listing filed in United States Patent Application Serial No. 08/952,793, filed on December 9, 1999, and the paper copy filed herewith are the same. 37 C.F.R. § 1.821(f).

Respectfully submitted,

Date: February 4, 2002

  
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1006660.020402